

Code of Ethical Conduct

It is a privilege to serve one's Government, State or agencies identified therewith. Such privilege calls for the maintenance by public servants of moral and ethical standards which are worthy and which warrant the confidence of the people. Public officials are entrusted with the welfare, prosperity, security, and safety of the people whom they serve. In return for such trust, the people are entitled to expect that no substantial conflict between private interests and official duties exists in respect to those who serve them. To assist members in the discharge of their duties so that their performance will conform to such standards, the following shall be known as the Society's Code of Ethical Conduct:

- Members of the Society shall at all times conduct themselves in accordance with the dignity of their profession and their responsibilities to the public.
- Members shall, at all times, demonstrate the qualities of honesty and trustworthiness.
- Members shall not be a party to any act which is in violation of the law and in particular, shall promote, uphold, and comply with those provisions of the law that are relevant to their profession. All who accept membership in the Society of Financial Examiners commit themselves to honor the public trust. In return for the faith the public reposes in them, members should seek continually to demonstrate their dedication to professional excellence.
- It shall be the duty of members so to discharge their regulatory responsibilities as to merit public confidence not only in themselves, but also in the agency of government which they represent.
- Members shall not solicit or accept other employment or engage in any business or professional activity which may give rise to the charge of conflict of interest or which may impair the independence of their judgment in the exercise of their duties.
- It shall be the obligation of members to:
 - complete examination assignments expeditiously and consistent with the requirements of sound examination procedure and thorough investigation; and
 - prepare reports on the findings of examinations conducted by the member which shall set forth a full, clear, fair and equitable description of material facts disclosed by such examination findings or by sworn testimony taken in the course of such examinations.
- It shall further be the duty of member examiners to include in the said reports all essential information concerning an examinee and, particularly, facts of a type, which, if not revealed, would deprive

public authorities of information which they are entitled to receive and thus have the tendency or capacity to deceive.

- Members shall not disclose, unless otherwise authorized, any confidential information acquired by them in the course of their official duties, nor shall they use such information to further their personal interests.
- Members shall not use, or attempt to use, their official position to obtain privileges or exemption for themselves or others.
- Members, by their conduct, shall not give reasonable basis for the impression that any person can improperly influence them or unduly enjoy their favor in the performance of their official duties, or that they are affected by the kinship, rank, position, or influence of any party or person.
- Members shall at all times adhere to the Society's Oath regarding tests and examinations, incorporated by reference into this Code.

ADOPTED BY THE BOARD OF GOVERNORS MAY 30, 1975,
KANSAS CITY, MO
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PORTLAND, OR
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